

**IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF MICHIGAN**

SECURITIES AND EXCHANGE COMMISSION,

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**W. NEIL "DOC" GALLAGHER, GALLAGHER
FINANCIAL GROUP, INC., and W. NEIL
GALLAGHER, Ph.D. AGENCY, INC.**

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Defendants,

MISC. ACTION NO.

March 13, 2019 2:37 PM
CLERK OF COURT
U.S. DISTRICT COURT
WESTERN DISTRICT OF MICHIGAN

WESTERN DISTRICT OF MICHIGAN
BY: mkc SCANNED BY: mar3119

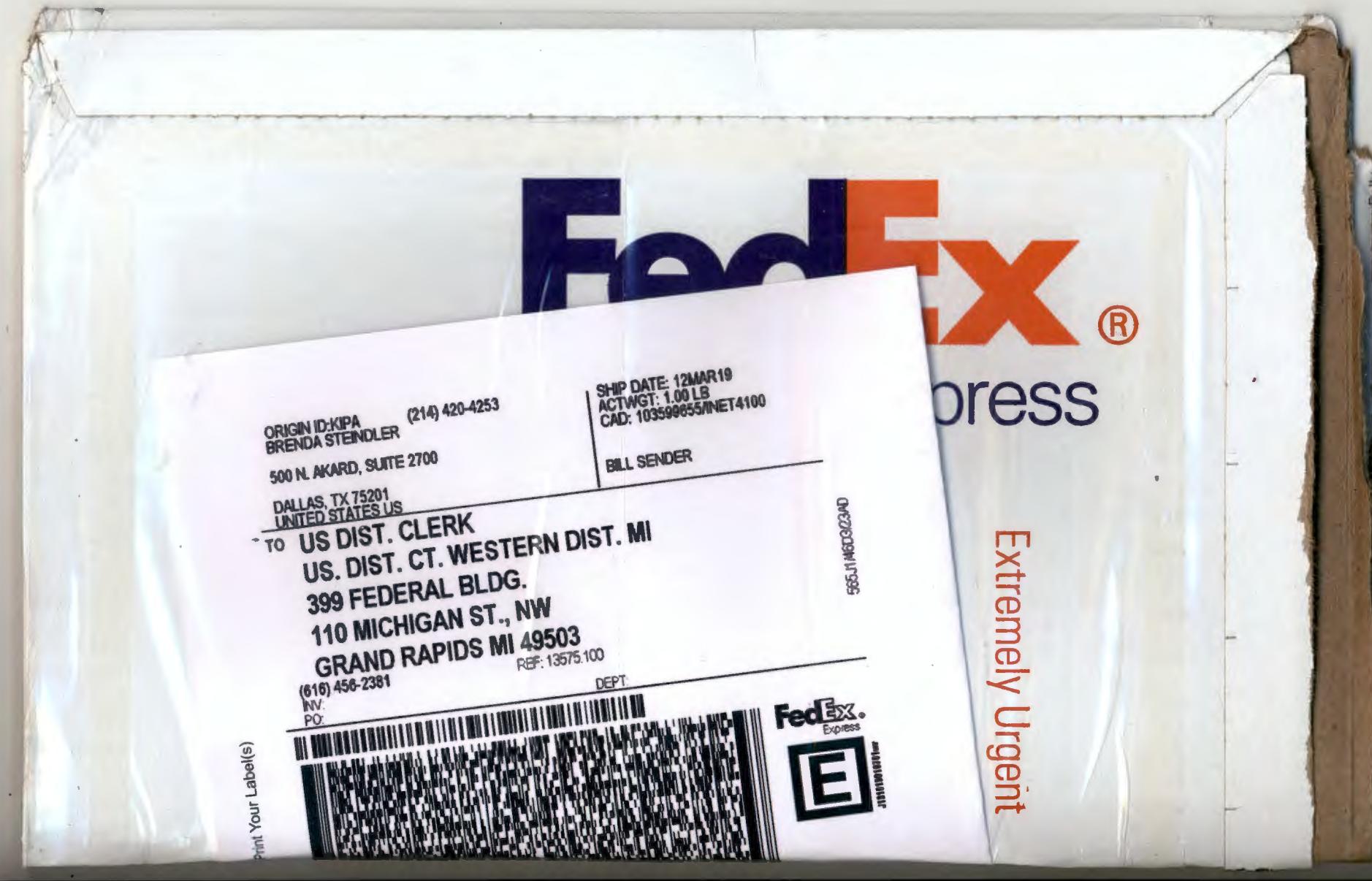
NOTICE OF APPOINTMENT OF RECEIVER

Pursuant to the provisions of 28 U.S.C. § 754, Cortney C. Thomas provides notice of his appointment as Temporary Receiver for the assets of W. Neil “Doc” Gallagher, Gallagher Financial Group, Inc., and W. Neil Gallagher, Ph.D. Agency, Inc. True and correct copies of the *Complaint* filed by the Securities and Exchange Commission; the *Order Appointing Receiver*; and the *Order Granting Motion to Unseal Docket and Proceedings* are attached hereto as **Exhibit A**, **Exhibit B**, and **Exhibit C** respectively.

March 12, 2019

Respectfully submitted,

/s/ Courtney C. Thomas
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